

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

JOSHUA COATES

Application No.: 09/695,499

Filed: December 8, 2000

For: A NETWORK STORAGE SYSTEM

Art Group: 2162

Examiner: Joon H. Hwang

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

03/06/2006 HNGUYEN1 00000028 09695499

01 FC:1806

180.00 OP

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Respectfully submitted,


BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: March 01, 2006

  
\_\_\_\_\_  
Gregory D. Caldwell, Reg. No. 39,926

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I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
\_\_\_\_\_  
*Julie Dussault*

03-01-06

*Date*



Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	09/695,499
(use as many sheets as necessary)				Filing Date	December 8, 2000
Sheet 1 of 4				First Named Inventor	Joshua Coates
				Art Unit	2162
				Examiner Name	Joon H. Hwang
				Attorney Docket Number	42390P19172

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)				
		US-20020010757		01-24-2002		
		US-20020054114		05-09-2002		
		US-6,108,155		08-22-2000		
		US-5,497,422		03-05-1996		
		US-5,506,984		04-09-1996		
		US-5,692,155		11-25-1997		
		US-5,757,920		05-26-1998		
		US-5,805,699		09-08-1998		
		US-5,870,537		02-09-1999		
		US-5,978,577		11-02-1999		
		US-6,148,349		11-14-2000		
		US-6,170,013		01-02-2001		
		US-6,173,374		01-09-2001		
		US-6,236,999		05-22-2001		
		US-6,256,673		07-03-2001		
		US-6,263,402		07-17-2001		
		US-6,314,465		11-06-2001		
		US-6,327,614		12-04-2001		
		US-6,351,775		02-26-2002		
		US-6,393,466		05-21-2002		

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>2</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)					
		WO 01/67707		09-13-2001			
		WO9945491A		09-10-1999			
		EP646858		08-26-1994			

Examiner Signature	Date Considered
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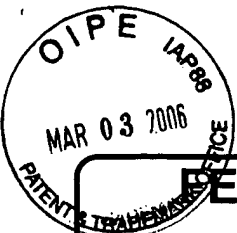
\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.









# FEE TRANSMITTAL for FY 2005

*Patent fees are subject to annual revision.*

*Complete if Known*

Application Number	09/695,499
Filing Date	December 8, 2000
First Named Inventor	Joshua Coates
Examiner Name	Joon H. Hwang
Art Unit	2162
Attorney Docket No.	42390P19172

Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT** (\$) 180.00

**METHOD OF PAYMENT** (check all that apply)

Check  
  Credit card  
  Money Order  
  None  
  Other (please identify): \_\_\_\_\_  
 Deposit Account Deposit Account Number: 02-2666  
 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP  
 For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)  
 Charge fee(s) indicated below  
  Charge fee(s) indicated below, except for the filing fee  
 Charge any additional fee(s) or underpayment of fee(s) under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
  Credit any overpayments

**FEE CALCULATION**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____					
<b>SUBTOTAL (2)</b>					<b>180.00</b>

**SUBMITTED BY**

*Complete (if applicable)*

Name (Print/Type)	Gregory D. Caldwell	Registration No. (Attorney/Agent)	39,926	Telephone	(503) 439-8778
Signature				Date	03/01/06

Based on PTO/SB/17 (12-04) as modified by Blakely, Sokoloff, Taylor & Zafman (w/r) 12/15/2004.  
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450